# RECEIVED CENTRAL FAX CENTER

AUG 1 4 2008

KELLEY

**KELLEY DRYE & WARREN LLP** 

400 ATLANTIC STREET STAMFORD, CONNECTICUT

FAX (203) 327-2669

06901-3229 (203) 324-1400

### **FACSIMILE TRANSMISSION**

TO

Commissioner for Patents

**FIRM** 

United States Patent and Trademark Office

CITY

Alexandria, VA 22313-1450

FAX

571-273-8300

**PHONE** 

571-270-1360

NO. OF PAGES

20 (including this page)

DATE

August 14, 2008

MESSAGE:

Art Unit: 3692

Confirmation No.: 8821

Examiner: MERCHANT, SHAHID R.

Response to Office Action of February 15, 2008

Applicant: NYFIX, Inc. Docket No.: NYF-104(US)

Title: Process for Providing Timely Quality Indication of Market Trades

**FROM** 

Alan D. Gardner

**PHONE** 

(203) 351-8019

E-MAIL

agardner@kelleydrye.com

TIMEKEEPER ID

05393

CLIENT NO.

017902.0025

NEW YORK, NY WASHINGTON, DC CHICAGO, IL STAMFORD, CT PARSIPPANY, NJ BRUSSELS

AFFILIATE OFFICES

MUMBAI

### IF PROBLEMS OCCUR DURING TRANSMISSION PLEASE CALL (203) 324-1400.

The Information contained in this facsimile message is intended for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the Intended recipient, or the employee or agent responsible for delivery to the intended recipient, you are hereby notified that any use, copying, disclosure or dissemination of this communication may be subject to legal restriction or sanction.

### **CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below; facsimile number 571-273-8300.

Date: August 14, 2008

Alan D. Gardner

## RECEIVED CENTRAL FAX CENTER

Any. Ref.: NYF-104(US)

AUG 1 4 2008

-1-of-18-

#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	NYFIX, Inc.	Art Unit:	3692
Serial No. :	10/825,726	Examiner:	MERCHANT, SHAHID R.
Filing Date:	April 15, 2004	Applicant's Docket No. :	NYF-104(US) was: 1100-078
Confirmation No. :	8821	Title:	PROCESS FOR PROVIDING TIMELY QUALITY INDICATION OF MARKET TRADES

# RESPONSE TO THE OFFICE ACTION OF FEBRUARY 15, 2008

### I. <u>INTRODUCTORY COMMENTS</u>

### REQUEST FOR CONSIDERATION OF RESPONSE

This "RESPONSE TO THE OFFICE ACTION OF February 15, 2008" replies to the outstanding office action in this case, and distinctly and specifically points out the errors in the Examiner's action, as well as responding to every ground of objection and rejection set forth in such office action. This response is a bona fide attempt to advance the application to allowance. In light of the amendments (if any) and remarks set forth below, Applicant requests that the Examiner reconsider the Examiner's stance with respect to the patentability of the claims and Applicant seeks further examination of the application. Applicant hereby requests that any objections or requirements as to form not necessary to further consideration of the claims be held in abeyance until allowable subject matter is indicated.

### • REQUEST FOR, AND PAYMENT OF, EXTENSION FEE

An extension of three months for filing this response is requested.. Applicant hereby provides the Commissioner with the authority to debit Kelley Drye & Warren's Deposit Account No. 11-0404 for the extension fees and, if necessary, any additional fees. While not

Atty. Ref.: NYF-104(US)

-2-of-18-

believed to be applicable in this case, Applicant notes 37 C. F. R. §1.7 which states "[w]hen the day, or the last day fixed by statute or by or under this part for taking any action or paying any fee in the United States Patent and Trademark Office falls on Saturday, Sunday, or a Federal holiday within the District of Columbia, the action may be taken, or the fee paid, on the next succeeding business day which is not a Saturday, Sunday or a Federal holiday."

### LOCATION OF SPECIFIED SECTIONS FOR SCANNING OF THIS DOCUMENT

SECT	IONS OF DOCUMENT	POCATIONOS SECURIOS
I.	INTRODUCTORY COMMENTS	Pages 1-3
II.	AMENDMENTS TO THE SPECIFICATION	Page 4
ш.	AMENDMENTS TO THE CLAIMS	Pages 5-7
IV.	AMENDMENTS TO THE DRAWINGS	Pages 8
V.	REMARKS/ARGUMENTS	Pages 9-17
VĮ.	APPENDIX	Pages +

### REQUEST FOR ENTRANCE OF AMENDMENTS AND CONSIDERATION OF ARGUMENTS

Applicants respectfully request entrance of the amendments (if any), and consideration of its arguments set forth below. Amended/new claims that may be construed as more limiting in scope than the scope of any of the claims prior to amendment/cancellation/addition should not be construed as an abandonment of any subject matter no longer claimed, nor should the amended/new claims be construed in any manner to waive or limit the equivalent structures of any element recited in such claims that would otherwise be available under the Doctrine of Equivalents with respect to the unamended/canceled claims.

Amendment/cancellation/addition of the claims is not in any manner intended to, and should not be construed to, waive Applicant's right in the future to seek protection for the subject matter claimed in prior claims, or to seek protection for similar matter in any

Atty. Ref.: NYF-104(US)

-3-of-18-

continuation, divisional, continuation-in-part, RCE, CPA or any other application claiming priority to or through the present application. Applicants expressly reserve the right to file applications directed to the subject matter covered by any canceled or previously submitted claim, as well as any unclaimed subject matter disclosed in the specification.